

PROFESSIONAL COMPLIANCE PROGRAM

GRIEVANCE ACTIVITY

(April 2005 — April 2007)

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| Number of grievances filed | 19 |
| Failed administrative review | 4 |
| COP finds no prima facie case; declines Grievance Hearing | 3 |
| Hearing demanded by Grievant | 1 |
| Hearings conducted by COP | 5 |
| Grievances appealed to Judiciary Committee | 1 |
| Grievances considered by Board of Directors | 2 |
| Grievance hearings in June 2007 | 2 |
| Grievances awaiting COP review | 3 |
| Grievances under administrative review | 3 |
| Automatic professional compliance actions due to state licensure limitations: | |
| April 2005 — March 2006 | 10 |
| June 2006 — April 2007 (Judiciary Committee review) | 12 |